

**TRANSMITTAL LETTER TO THE UNITED STATES
DESIGNATED/ELECTED OFFICE (DO/EO/US)
CONCERNING A FILING UNDER 35 U.S.C. 371**

U.S. APPLICATION NO. (37 CFR 1.5)

107514625
To Be Assigned

INTERNATIONAL APPLICATION NO.	INTERNATIONAL FILING DATE	PRIORITY DATE CLAIMED
PCT/DK03/00331	19 May 2003	17 May 2002; 18 July 2002

TITLE OF INVENTION

AMINO-FUNCTIONAL CHALCONES

APPLICANT(S) FOR DO/EO/US

Simon Feldbæk NIELSEN et al.

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

1. This is a **FIRST** submission of items concerning a filing under 35 U.S.C. 371.
2. This is a **SECOND** or **SUBSEQUENT** submission of items concerning a filing under 35 U.S.C. 371.
3. This express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below.
4. The US has been elected (Article 31).
5. A copy of the International Application as filed (35 U.S.C. 371(c)(2))
 - a. is attached hereto (required only if not communicated by the International Bureau).
 - b. has been communicated by the International Bureau.
 - c. is not required, as the application was filed in the United States Receiving Office (RO/US).
6. An English language translation of the International Application as filed (35 U.S.C. 371(c)(2))
 - a. is attached hereto.
 - b. has been previously submitted under 35 U.S.C. 154(d)(4).
7. Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))
 - a. are attached hereto (required only if not communicated by the International Bureau).
 - b. have been communicated by the International Bureau.
 - c. have not been made; however, the time limit for making such amendments has NOT expired.
 - d. have not been made and will not be made.
8. An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).
9. An unexecuted oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).
10. An English language translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).

Items 11 to 20 below concern document(s) or information included:

11. An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
12. An Assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
13. A Preliminary Amendment.
14. An Application Data Sheet under 37 CFR 1.76.
15. A substitute specification.
16. A power of attorney and/or change of address letter.
17. A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821-1.825.
18. A second copy of the published international application under 35 U.S.C. 154(d)(4).
19. A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).
20. Other items or information:
 - Copy of Form PCT/IB/308
 - Copy of WO 03/097575 A2
 - Copies of Forms PCT/IPEA 416 and PCT/IPEA 409

U.S. APPLICATION NO. (IF KNOWN. SEE 37 CFR 1.17)		INTERNATIONAL APPLICATION NO.	ATTORNEY'S DOCKET NUMBER																																																								
40/514625 To Be Assigned		PCT/DK03/00331	55320.000104																																																								
<p>21. <input checked="" type="checkbox"/> The following fees are submitted:</p> <p>BASIC NATIONAL FEE (37 CFR 1.492 (a) (1)-(5)):</p> <p>Neither international preliminary examination fee (37 CFR 1.482) nor international search fee (37 CFR 1.445(a)(2) paid to USPTO and International Search Report not prepared by the EPO or JPO.....\$1080.00</p> <p>International preliminary examination fee (37 CFR 1.482) not paid to USPTO but International Search Report prepared by the EPO or JPO\$920.00</p> <p>International preliminary examination fee (37 CFR 1.482) not paid to USPTO but international search fee (37 CFR 1.445(a)(2)) paid to USPTO\$770.00</p> <p>International preliminary examination fee (37 CFR 1.482) paid to USPTO but all claims did not satisfy provisions of PCT Article 33(1)-(4)\$730.00</p> <p>International preliminary examination fee (37 CFR 1.482) paid to USPTO and all claims satisfied provisions of PCT Article 33(1)-(4).....\$100.00</p> <p>ENTER APPROPRIATE BASIC FEE AMOUNT =</p> <p style="text-align: right;">\$920.00</p>																																																											
<p>Surcharge of \$130.00 for furnishing the oath or declaration later than 30 months from the earliest claimed priority date (37 CFR 1.492(e)).</p> <table border="1"> <thead> <tr> <th>CLAIMS</th> <th>NUMBER FILED</th> <th>NUMBER EXTRA</th> <th>RATE</th> </tr> </thead> <tbody> <tr> <td>Total claims</td> <td>20 - 20 =</td> <td></td> <td>x \$ 18.00 \$</td> </tr> <tr> <td>Independent claims</td> <td>2 - 3 =</td> <td></td> <td>x \$ 86.00 \$</td> </tr> <tr> <td colspan="2">MULTIPLE DEPENDENT CLAIM(S) (if applicable)</td> <td></td> <td>+ \$290.00 \$</td> </tr> <tr> <td colspan="3">TOTAL OF ABOVE CALCULATIONS =</td> <td>\$920.00</td> </tr> <tr> <td colspan="4"> <input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above + \$ are reduced by 1/2. </td> </tr> <tr> <td colspan="3">SUBTOTAL =</td> <td>\$920.00</td> </tr> <tr> <td colspan="4"> <p>Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492(f)).</p> <p>TOTAL NATIONAL FEE =</p> </td> </tr> <tr> <td colspan="4"> <p>Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property + \$</p> <p>TOTAL FEES ENCLOSED =</p> </td> </tr> <tr> <td colspan="3"></td> <td>Amount to be refunded: \$</td> </tr> <tr> <td colspan="3"></td> <td>charged: \$</td> </tr> <tr> <td colspan="4"> <p>a. <input checked="" type="checkbox"/> A check in the amount of <u>\$920.00</u> to cover the above fees is enclosed.</p> <p>b. <input type="checkbox"/> Please charge Deposit Account No. <u>50-0206</u> in the amount of \$ to cover the above fees. A duplicate copy of this sheet is enclosed.</p> <p>c. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. <u>50-0206</u> A duplicate copy of this sheet is enclosed.</p> <p>d. <input type="checkbox"/> Fees are to be charged to a credit card. WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.</p> </td> </tr> <tr> <td colspan="4"> <p>NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.</p> <p>SEND ALL CORRESPONDENCE TO:</p> <p>CUSTOMER NO. 21967</p> <p>Intellectual Property Department Hunton & Williams LLP 1900 K Street, N.W.; Suite 1200 Washington, DC 20006-1109 (202) 955-1500 (telephone) (202) 778-2201 (facsimile)</p> </td> </tr> <tr> <td colspan="2">  SIGNATURE Stanislaus Aksman NAME 28,562 REGISTRATION NUMBER </td> <td colspan="2"> November 17, 2004 DATE </td> </tr> </tbody></table>				CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE	Total claims	20 - 20 =		x \$ 18.00 \$	Independent claims	2 - 3 =		x \$ 86.00 \$	MULTIPLE DEPENDENT CLAIM(S) (if applicable)			+ \$290.00 \$	TOTAL OF ABOVE CALCULATIONS =			\$920.00	<input type="checkbox"/> Applicant claims small entity status. 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DT07 Rec'd PCT/PTO 17 NOV 2004
Return When Serial Number is Assigned

Please return this card, indicating receipt date and Serial No., if applicable, of the following

PATENT

PROVISIONAL
 UTILITY

Application No.: TBA

Client/Matter No.: 55320.000103

Inventor(s): SIMON FELDBÆK NIELSEN ET AL.

Title: AMINOALKOXY-FUNCTIONAL CHALCONES

DESIGN
 PCT

Date: NOVEMBER 17, 2004

Client: LICA PHARMACEUTICALS A/S

Atty/Sec.: SA/abs

The following has been received in the U.S. Patent and Trademark
 Office on the date stamped hereon:

- TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE
 (DO/EO/US) CONCERNING A FILING UNDER 35 U.S.C. 371 (IN DUPLICATE)
- PRELIMINARY AMENDMENT
- UNEXECUTED DECLARATION AND POWER OF ATTORNEY FOR PATENT APPLICATION
- COPY OF FORM PCT/IB/308
- COPY OF WO 03/097574 A2
- COPY OF FORM PCT/IPEA/409
- Copy of Form PCT/IPEA/416
- Check No. 2062927 for \$920.00

10/514829

NOV 29 2004

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 11-29-04 JY